



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. application of:

Douglas R. Elliott

For:

METHOD OF REPEATEDLY SECURITIZING INTELLECTUAL

PROPERTY ASSETS AND FACILITATING INVESTMENTS

**THEREIN** 

U.S. Serial No.:

09/758,624

Filed: January 11, 2001

RECEIVED

Group Art Unit:

2165

Examiner: N/A

OCT 2 3 2001

**Technology Center 2100** 

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: Application Processing Division, Washington, DC 20231, on:

J...., 20251

Date of Deposit

Name:

REQUEST FOR CORRECTED FILING RECEIPT

On September 7, 2001, applicants were advised by the Patent and Trademark Office that the Request for a Corrected Filing Receipt which was filed on May 25, 2001, was not granted because continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship of the applications.

Applicants respectfully request issuance of a corrected Filing Receipt reporting the continuing data as follows:

This application is a Continuation-in-Part of U. S. Application Serial Number 09/481,126 filed on January 11, 2000, which claims benefit of Provisional Application Serial No. 60/175,572, filed on January 11, 2000.

If a fee is required, The Commissioner is hereby authorized to charge it to Deposit Account No. 50-0605, CARR & STORM, L.L.P.

Respectfully submitted,

CARR & STORM, L.L.P.

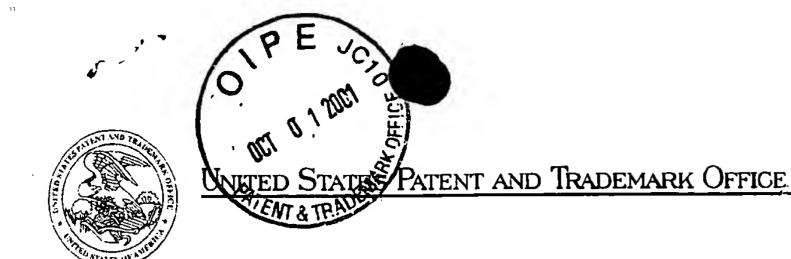
Paul V. Storm

Registration No. 35,803

Attorney for Applicants

Date: <u>9-28-2001</u> 670 Founders Square 900 Jackson Street Dallas, Texas 75202 (214) 760-3032 (direct)

File: TEQ 1117005



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

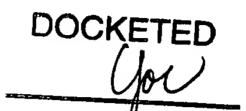
09/758,624

01/11/2001

Douglas R. Elliott

TEQ 1117005

21909 CARR & STORM, L.L.P. 900 JACKSON STREET 670 FOUNDERS SQUARE DALLAS, TX 75202



CONFIRMATION NO. 8961

Date Mailed: 09/07/2001

## RECEIVED

## RESPONSE TO REQUEST CORRECTED FILING RECEIPT

UCT 2 3 2001

Claims, Fees, Inventors and Continuity

**Technology Center 2100** 

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
The docket number allows a maximum of 25 characters.
Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional).
Foreign priority will appear on the Filing Receipt in the following order:  Country, Application number, Filing date.
The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.

	Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (), cannot be included on the Filing Receipt.	
Any corrections that may need to be done to your Filing Receipt should be directed to:		
	Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231	

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE